



## FAX COVER SHEET

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		TOTAL # OF PAGES: (INCLUDING THIS COVER SHEET)	55
<b>DATE:</b>	<b>December 29, 2003</b>		
<b>TO:</b>	<b>Vishal Patel</b>	<b>FAX #:</b>	<b>703.746.3814</b>
<b>FIRM NAME:</b>	<b>Group Art Unit 3676 U.S. Patent and Trademark Office</b>	<b>PHONE #:</b>	<b>703.308.8495</b>
<b>FROM:</b>	<b>KrisAnne Popovits Paralegal/Intellectual Property</b>	<b>FAX #:</b>	<b>612.340.8856</b>
<b>PHONE #:</b>	<b>612.343.7965</b>	<b>EMAIL:</b>	<b>popovits.kris.anne@dorsey.com</b>

### COMMENTS:

Examiner Patel:

Per your request, I have attached a copy of the documents we filed with the U.S. Patent and Trademark Office on September 8, 2003, via First Class U.S. Mail. I have not included the 199 references cited on the Supplemental Information Disclosure Statement. Please let me know if you are able to locate those references. If not, I will send them to you.

Attached please find: Copy of Return Receipt Postcard date stamped received by OIPE "SEP 11 2003"; Copy of documents filed September 8, 2003 via First Class U.S. Mail; Transmittal Letter (2 pages); Request for Continued Examination (RCE) Under 37 CFR 1.114 (2 pages); Amendment (15 pages); Supplemental Information Disclosure Statement Under 37 CFR 1.97(b)(4) (2 pages); Form 1449A/PTO (14 pages, submitted in duplicate); Petition For Extension of Period For Response (1 page); Fee Determination (After Amendment of Claims) (1 page); Check No. 965789; Return Receipt Postcard

First Named Inventor: Michael R. Oldenburg  
 Client Name: Transcorm, Inc.  
 Application No.: 09/855,001  
 Filing Date: May 14, 2001  
 Application Title: **Retrofittable Severe Duty Seal For A Shaft**  
 Attorney/LA Initials: DVP/SWJ/kap  
 Faxed: 29 December 2003  
 Our Dkt No.: 14036

ORIGINAL WILL BE SENT VIA:  MAIL  E-MAIL  MESSENGER  AIR COURIER  WILL NOT BE SENT

**PLEASE CONTACT KRISANNE POPOVITS AT (612) 343-7965 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.**

REFERENCE # 7421/458248-7

DORSEY & WHITNEY LLP • WWW.DORSEY.COM T 612.340.2860 F 612.340.2868  
SUITE 1500 • 60 SOUTH SIXTH STREET MINNEAPOLIS, MINNESOTA 55402-1408  
USA CANADA EUROPE ASIA

*The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:*

Transmittal Letter (2 pages); Request for Continued Examination (RCE) Under 37 CFR 1.114 (2 pages); Amendment (15 pages); Supplemental Information Disclosure Statement Under 37 CFR 1.97(b)(4) (2 pages); Form 1449A/PTO (14 pages, submitted in duplicate); Copies of 199 References Cited; Petition For Extension Of Period For Response (1 page); Fee Determination (After Amendment of Claims) (1 page); Check No. 965789 in the amount of \$589; Return Receipt Postcard

**Via First Class Mail**  
**with Certificate of Mailing**

SEP 11 2003

First Named Inventor: Michael R. Oldenburg  
Client Name: Transcom, Inc.  
Application No.: 09/855,001  
Filing Date: May 14, 2001  
Application Title: **Retrofittable Severe Duty Seal For A Shaft**  
Attorney/Paralegal Initials: DVP/SWJ/kap  
Mailed: 8 September 2003  
Docket No.: 14036



*The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:*

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**Via First Class Mail**  
**with Certificate of Mailing**

First Named Inventor: Michael R. Oldenburg  
Client Name: Transcom, Inc.  
Application No.: 09/855,001  
Filing Date: May 14, 2001  
Application Title: **Retrofittable Severe Duty Seal For A Shaft**  
Attorney/Paralegal Initials: DVP/SWJ/kap  
Mailed: 8 September 2003  
Docket No.: 14036

**DORSEY & WHITNEY LLP 50 SOUTH SIXTH STREET, STE 1500 MINNEAPOLIS, MINNESOTA 55402-1498 CHECK NO.: 965789**

INVOICE	DATE	INVOICE DESCRIPTION	AMOUNT PAID
K. Popovits	09-08-03	23 Dkt. No. 14036	589.00

ORIGINAL CHECK HAS VOID PATTERN IN BACKGROUND AND ARTIFICIAL WATERMARK ON REVERSE SIDE. DO NOT CASH IF VOID IS VISIBLE OR WATERMARK IS ABSENT.

AMOUNT SHOWN ON CHECK  
IS \$589.00

\*\*965789\*\* 00929045540150080378931\*

PAGE 4/55 \*RCVD AT 12/29/2003 12:29:38 PM [Eastern Standard Time]\* SVR:USPTO-EFXRF-2/0 \* DNIS:7463814 \* CSID:6123402868 \* DURATION (mm:ss):18-02

Docket: 14036

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	<b>RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT</b>	Group Art Unit: 3676

## TRANSMITTAL LETTER

**Mail Stop RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8 day of September, 2003.

*KrisAnne Popovits*  
KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

1. Request for Continued Examination (RCE) Under 37 CFR 1.114 (2 pages);
2. Amendment (15 pages);
3. Supplemental Information Disclosure Statement Under 37 CFR 1.97(b)(4) (2 pages);
4. Form PTO/SB/08A (14 pages, submitted in duplicate);
5. Copies of 199 References Cited;
6. Petition For Extension Of Period For Response (1 page);
7. Fee Determination (After Amendment of Claims) (1 page);
8. Check No. 965789 in the amount of \$589.00 (\$205 to cover two-month extension of time fee; \$375 to cover of RCE fee; and \$9 to cover extra claim fee); and
9. Return Receipt Postcard.

Application No.: 09/855,001

Docket No.: 14036

Respectfully submitted,

DORSEY & WHITNEY LLP  
Customer Number 25763

Date: 9-8-03

By:

  
S. Wade Johnson  
Reg. No. 50,873  
Intellectual Property Department  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 340-8835

**FEE DETERMINATION  
(After Amendment of Claims)**

		<i>Complete if Known</i>
Application No.		09/855,001
Filing Date		May 14, 2001
First Named Inventor		Michael R. Oldenburg
Group Art Unit		3676
Examiner Name		V. Patel
Atty. Docket Number		14036
<b>AMENDMENT FEE DETERMINATION (Continued)</b>		N/A

METHOD OF PAYMENT (Check One)			AMENDMENT FEE CALCULATION (Continued)		
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: 04-1420 Deposit Account Name: DORSEY & WHITNEY LLP			<b>3. ADDITIONAL FEES</b>  110      55      Extension for reply within first month 410      205      Extension for reply within second month      \$205.00 930      465      Extension for reply within third month 1,450      725      Extension for reply within fourth month 1,970      985      Extension for reply within fifth month 1,300      650      Issue Fee-Utility/Reissue 320      160      Notice of Appeal 320      160      Filing brief in support of appeal 280      140      Request for oral hearing 110      55      Terminal Disclaimer Fee 110      55      Petition to revive – unavoidable 1,300      650      Petition to revive – unintentional 130      130      Petitions to the Commissioner 180      180      Submission of IDS 750      375      Request for Continued Examination (RCE)      \$375.00		
<input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)					
<input checked="" type="checkbox"/> Check Enclosed					
AMENDMENT FEE CALCULATION					
<b>1. EXTRA* CLAIM FEES</b>  Total      64      -      43      =      1      x      \$9.00      =      \$9.00 Indep.      7      -      4      =      0      x      \$42.00      =      0					
First Presentation of Multiple Dependent Claim      x      = Subtotal (1)      \$9.00					
*Calculation of Extra Claim Fees  18      9      Claims in excess of 20 84      42      Independent claims in excess of 3 280      140      Multiple dependent Claim 84      42      Reissue independent claims over original patent 18      9      Reissue claims in excess of 20 and over original patent					
Other fee (specify):  Subtotal (2)      \$580.00					
Total Amount of Payment      \$589.00					

Submitted by:

CUSTOMER NUMBER	DORSEY & WHITNEY LLP Intellectual Property Department 50 South Sixth Street, Suite 1500 Minneapolis, MN 55402-1498		
Name: S. Wade Johnson	Reg. No.: 50,873	Telephone: (612) 340-8835	Facsimile: (612) 340-8856
Signature: <i>S. Wade</i>			Date: 9-8-03